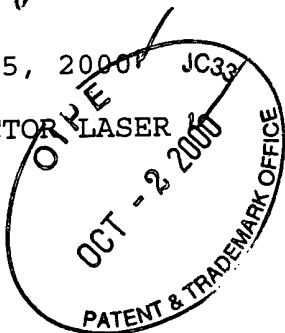


Attorney Docket No. 00120/HG

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Toshikazu MUKAIHARA et al ✓  
Serial No. : 09/513,702 ✓  
Filed : February 25, 2000 ✓ JC32  
For : SEMICONDUCTOR LASER  
DEVICE  
Art Unit : 2874 ✓  
Examiner :



**INFORMATION DISCLOSURE STATEMENT  
AND  
STATEMENT UNDER 37 CFR 1.97(e)**

Assistant Commissioner of Patents  
Washington, D.C. 20231

S I R :

Submitted herewith are:

- (1) Copy of a Communication and International Search Report issued in the counterpart International application PCT/JP00/02881;
- (2) Form PTO-1449. It is requested that an initialled copy of the Form PTO-1449 be returned to indicate that the publications listed therein have been considered and made of record; and
- (3) Copies of the publications cited in said PTO-1449.

Each of the cited publications is considered relevant or material to the patentability of the present invention in view of the citation thereof in said Search Report.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date noted below.

Attorney: Herbert Goodman

Dated: September 25, 2000

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

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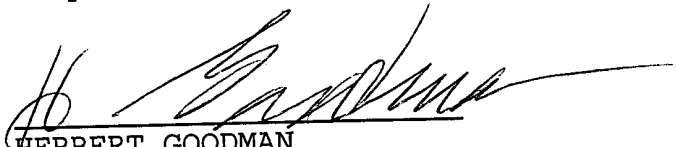
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STATEMENT UNDER 37 CFR 1.97(e)(1)

The undersigned hereby states that each item of information contained in this Information Disclosure Statement was cited in said International Search Report in the counterpart International application not more than three months prior to the filing of the present Information Disclosure Statement. Said communication bears a date of August 8, 2000. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e).

It is respectfully requested that the attached publications be considered and made "of record".

Respectfully submitted,

  
HERBERT GOODMAN  
Reg. No. 17,081

Frishauf, Holtz, Goodman,  
Langer & Chick, P.C.  
767 Third Avenue - 25th Floor  
New York, NY 10017-2023  
Telephone: (212) 319-4900  
Facsimile: (212) 319-5101

HG/lpv

Enc.: Form PTO-1449 and publications identified therein  
Japanese language International Search Report